

VIA eFILE  
Form PTO-1449

Applicant: Michael Carley  
Serial No.: 10/541,083  
Filing Date: 06/29/2005  
For: METHODS FOR MANUFACTURING A CLIP AND CLIP

Sheet 1 of 35  
Confirmation No.: 1182  
Att'y Docket No.: 16497.1.1.1F  
Art Unit: 4116

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____1	287,046	10/23/1883	Norton
____2	1,088,393	02/24/1914	Backus
____3	1,331,401	02/17/1920	Summers
____4	2,087,074	07/13/1937	Tucker
____5	2,453,227	11/09/1948	James
____6	2,684,070	07/20/1954	Kelsey
____7	2,944,311	07/12/1960	Schneckenberger
____8	2,969,887	01/31/1961	Darmstadt et al.
____9	3,015,403	01/02/1962	Fuller
____10	3,142,878	08/04/1967	Santora
____11	3,482,428	12/09/1969	Kapitanov et al.
____12	3,586,002	06/22/1971	Wood
____13	3,604,425	09/14/1971	Le Roy

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____14	3,677,243	09/24/1971	Nerz
____15	3,757,629	09/11/1973	Schneider
____16	3,805,337	04/23/1974	Branstetter
____17	3,944,114	03/16/1976	Coppens
____18	4,014,492	03/29/1977	Rothfuss
____19	4,192,315	03/11/1980	Hilzinger et al.
____20	4,207,870	06/17/1980	Eldridge
____21	4,217,902	08/19/1980	March
____22	4,278,091	07/14/1981	Borzone
____23	4,318,401	03/09/1982	Zimmerman
____24	4,345,606	08/24/1982	Littleford
____25	4,396,139	08/02/1983	Hall et al.
____26	4,411,654	10/25/1983	Boarini et al.
____27	4,412,832	11/01/1983	Kling et al.
____28	4,428,376	01/31/1984	Mericle

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____29	4,485,816	12/04/1984	Krumme
____30	4,505,273	03/19/1985	Braun et al.
____31	4,505,274	03/19/1985	Speelman
____32	4,523,695	06/18/1985	Braun et al.
____33	4,525,157	06/25/1985	Valaincourt
____34	4,586,503	05/06/1986	Kirsch et al.
____35	4,607,638	08/26/1986	Crainich
____36	4,610,251	09/09/1986	Kumar
____37	4,610,252	09/09/1986	Catalano
____38	4,665,906	05/19/1987	Jervis
____39	4,687,469	08/18/1987	Osyпка
____40	4,724,840	02/16/1988	McVay et al
____41	4,738,658	04/19/1988	Magro et al.
____42	4,744,364	05/17/1988	Kensey
____43	4,771,782	09/20/1988	Millar

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____44	4,772,266	09/20/1988	Groshong
____45	4,777,950	10/18/1988	Kees, Jr.
____46	4,789,090	12/06/1988	Blake, III
____47	4,832,688	05/23/1989	Sagae et al.
____48	4,836,204	06/06/1989	Landymore et al.
____49	4,852,568	08/01/1989	Kensey
____50	4,860,746	08/29/1989	Yoon
____51	4,865,026	09/12/1989	Barrett
____52	4,874,122	10/17/1989	Froelich et al.
____53	4,878,915	11/07/1989	Brantigan
____54	4,887,601	12/19/1989	Richards
____55	4,917,087	04/17/1990	Walsh et al.
____56	4,934,364	06/19/1990	Green
____57	4,950,258	08/21/1990	Kawai et al.
____58	5,007,921	04/16/1991	Brown

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____59	5,015,247	05/14/1991	Michelson
____60	5,021,059	06/04/1991	Kensey et al.
____61	5,026,390	06/25/1991	Brown
____62	5,053,008	10/01/1991	Bajaj
____63	5,061,274	10/29/1991	Kensey
____64	5,100,418	03/31/1992	Yoon et al.
____65	5,108,420	04/28/1992	Marks
____66	5,108,421	04/28/1992	Fowler
____67	5,114,032	05/19/1992	Laidlaw
____68	5,114,065	05/19/1992	Storace
____69	5,131,379	07/21/1992	Sewell, Jr.
____70	5,147,381	09/15/1992	Heimerl et al.
____71	5,156,609	10/20/1992	Nakao et al.
____72	5,167,634	12/01/1992	Corrigan, Jr. et al.
____73	5,167,643	12/01/1992	Lynn

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____74	5,171,249	12/15/1992	Stefanchik et al.
____75	5,176,648	01/05/1993	Holmes et al.
____76	5,192,300	03/09/1993	Fowler
____77	5,192,301	03/09/1993	Kamiya et al.
____78	5,192,302	03/09/1993	Kensey et al.
____79	5,209,756	05/11/1993	Seedhorm et al.
____80	5,222,974	06/29/1993	Kensey et al.
____81	5,243,857	02/28/1995	Velez
____82	5,246,156	09/21/1993	Rothfuss et al.
____83	5,246,443	09/21/1993	Mai
____84	5,275,616	01/04/1994	Fowler
____85	5,290,243	03/01/1994	Chodorow et al.
____86	5,290,310	03/01/1994	Makower et al.
____87	5,292,309	03/08/1994	Van Tassel et al.
____88	5,292,332	03/08/1994	Lee

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____89	5,304,184	04/19/1994	Hathaway et al.
____90	5,306,254	04/26/1994	Nash et al.
____91	5,318,542	06/07/1994	Hirsch et al.
____92	5,320,639	06/14/1994	Rudnick
____93	5,334,216	08/02/1994	Vidal et al.
____94	5,334,217	08/02/1994	Das
____95	5,350,399	09/27/1994	Erlebacher et al.
____96	5,352,229	10/04/1994	Goble et al.
____97	5,366,458	11/22/1994	Korthoff et al.
____98	5,366,479	11/22/1994	McGarry et al.
____99	5,392,978	02/28/1995	Valez et al.
____100	5,395,030	03/07/1995	Kuramoto et al.
____101	5,411,520	05/02/1995	Nash et al.
____102	5,413,571	05/09/1995	Katsaros et al.
____103	5,413,584	05/09/1995	Schulze

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____104	5,416,584	05/16/1995	Kay
____105	5,417,699	05/23/1995	Klein et al.
____106	5,423,857	06/13/1995	Rosenman et al.
____107	5,431,639	07/11/1995	Shaw
____108	5,443,481	08/22/1995	Lee
____109	5,456,400	10/10/1995	Shichman et al.
____110	5,470,010	11/28/1995	Rothfuss et al.
____111	5,474,557	12/12/1995	Mai
____112	5,478,352	12/26/1995	Fowler
____113	5,478,353	07/18/1994	Yoon et al.
____114	5,478,354	12/26/1995	Tovey et al.
____115	5,486,195	01/23/1996	Myers et al.
____116	5,507,755	04/16/1996	Gresl et al.
____117	5,522,840	06/04/1996	Krajceck
____118	5,527,322	06/18/1996	Klein et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____119	5,536,251	07/16/1996	Evard et al.
____120	5,544,802	08/13/1996	Crainich
____121	5,560,532	10/01/1996	DeFonzo et al.
____122	5,591,205	01/07/1997	Fowler
____123	5,601,602	02/11/1997	Fowler
____124	5,620,461	04/15/1997	Muijs et al.
____125	5,643,318	07/01/1997	Tsukernik et al.
____126	5,645,565	07/08/1997	Rudd et al.
____127	5,645,566	07/08/1997	Brenneman et al.
____128	5,645,567	07/08/1997	Crainich
____129	5,674,231	10/07/1997	Green et al.
____130	5,676,689	10/14/1997	Kensey et al.
____131	5,676,974	10/14/1997	Valdes et al.
____132	5,683,405	11/04/1997	Yacoubian et al.
____133	5,690,674	11/25/1997	Diaz

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____134	5,695,504	12/09/1997	Gifford, III et al.
____135	5,695,505	12/09/1997	Yoon
____136	5,716,375	02/10/1998	Fowler
____137	5,725,554	03/10/1998	Simon et al.
____138	5,728,114	03/17/1998	Evans et al.
____139	5,728,122	03/17/1998	Leschinsky et al.
____140	5,728,132	03/17/1998	Van Tassel et al.
____141	5,732,872	03/31/1998	Bolduc et al.
____142	5,782,844	07/21/1998	Yoon et al.
____143	5,782,860	07/21/1998	Epstein et al.
____144	5,782,861	07/21/1998	Cragg et al.
____145	5,810,776	09/22/1998	Bacich et al.
____146	5,810,846	09/22/1998	Virnich et al.
____147	5,810,851	09/22/1998	Yoon
____148	5,817,113	10/06/1998	Gifford, III et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____149	5,820,631	10/13/1998	Nobles
____150	5,830,125	11/03/1998	Scribner et al.
____151	5,855,312	01/05/1999	Toledano
____152	5,861,005	01/19/1999	Kontos
____153	5,871,474	02/16/1999	Hermann et al.
____154	5,871,501	02/16/1999	Leschinsky et al.
____155	5,871,525	02/16/1999	Edwards et al.
____156	5,879,366	03/09/1999	Shaw et al.
____157	5,902,310	05/11/1999	Foerster et al.
____158	5,904,697	05/18/1999	Gifford, III et al.
____159	5,910,155	06/08/1999	Ratcliff et al.
____160	5,922,009	07/13/1999	Epstein et al.
____161	5,935,147	08/10/1999	Kensey et al.
____162	5,941,890	08/24/1999	Voegele et al.
____163	5,951,518	09/14/1999	Licata et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____164	5,951,589	09/14/1999	Epstein et al.
____165	5,964,782	10/12/1999	Lafontaine et al.
____166	5,984,934	11/16/1999	Ashby et al.
____167	5,984,949	11/16/1999	Levin
____168	5,993,468	11/30/1999	Rygaard
____169	6,004,341	12/21/1999	Zhu et al.
____170	6,007,563	12/28/1999	Nash et al.
____171	6,022,372	02/08/2000	Kontos
____172	6,030,364	02/29/2000	Durgin et al.
____173	6,036,720	03/14/2000	Abrams et al.
____174	6,045,570	04/04/2000	Epstein et al.
____175	6,048,358	04/11/2000	Barak
____176	6,056,768	05/02/2000	Cates et al.
____177	6,056,769	05/02/2000	Epstein et al.
____178	6,056,770	05/02/2000	Epstein et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____179	6,063,085	05/16/2000	Tay et al.
____180	6,077,281	06/20/2000	Das
____181	6,077,291	06/20/2000	Das
____182	6,080,182	06/27/2000	Shaw et al.
____183	6,080,183	06/27/2000	Tsugita et al.
____184	6,090,130	07/18/2000	Nash et al.
____185	6,110,184	08/29/2000	Weadock
____186	6,113,612	09/05/2000	Swanson et al.
____187	6,117,148	09/12/2000	Ravo
____188	6,120,524	09/19/2000	Taheri
____189	6,149,660	11/21/2000	Laufer et al.
____190	6,149,667	11/21/2000	Hovland et al.
____191	6,152,937	11/28/2000	Peterson et al.
____192	6,197,042	03/06/2001	Ginn et al.
____193	6,277,140	08/21/2001	Ginn et al.

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____194	6,287,322	09/11/2001	Zhu et al.
____195	6,305,891	10/23/2001	Burlingame
____196	6,322,580	11/27/2001	Kanner
____197	6,334,865	01/01/2002	Redmond et al.
____198	6,348,064	02/19/2002	Kanner
____199	6,391,048	05/21/2002	Ginn et al.
____200	6,398,752	06/04/2002	Sweezer et al.
____201	6,402,765	06/11/2002	Monassevitch et al.
____202	6,409,739	06/25/2002	Nobles et al.
____203	6,428,548	08/06/2002	Durgin et al.
____204	6,443,158	09/03/2002	Lafontaine et al.
____205	6,450,391	09/17/2002	Kayan et al.
____206	6,461,364	10/08/2002	Ginn et al.
____207	6,488,692	12/03/2002	Spence et al.
____208	6,506,210	01/14/2003	Kanner

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____209	6,533,762	03/18/2003	Kanner et al.
____210	6,547,806	04/15/2003	Ding
____211	6,582,452	06/24/2003	Coleman et al.
____212	6,602,263	08/05/2003	Swanson et al.
____213	6,616,686	09/09/2003	Coleman et al.
____214	6,623,510	09/23/2003	Carley et al.
____215	6,626,918	09/30/2003	Ginn et al.
____216	6,632,238	10/14/2003	Ginn et al.
____217	6,634,537	10/21/2003	Chen
____218	6,645,205	11/11/2003	Ginn
____219	6,652,538	11/25/2003	Kayan et al.
____220	6,669,714	12/30/2003	Coleman et al.
____221	6,679,904	01/20/2004	Gleeson et al.
____222	6,695,867	02/24/2004	Ginn et al.
____223	6,719,777	04/13/2004	Ginn et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____224	6,726,704	04/27/2004	Loshakove et al.
____225	6,749,621	06/15/2004	Pantages et al.
____226	6,755,842	06/29/2004	Kanner et al.
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____237	RE 34,866	02/21/1995	Kensey et al.
____238	2001/0007077	07/05/2001	Ginn et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
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____240	2001/0047180	11/29/2001	Grudem et al.
____241	2002/0026208	02/28/2002	Belef
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____243	2002/0049427	04/25/2002	Wiener et al.
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____248	2002/0107542	08/08/2002	Kanner et al.
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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
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____258	2003/0125766	07/03/2003	Ding
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____267	2004/0087985	05/06/2004	Loshakove et al.
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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____269	2004/0153123	08/05/2004	Palermo et al.
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U.S. Patent Applications

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____322	WO 96/24291	08/15/1996	PCT
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____359	ZA 20010527	01/18/2001	South Africa

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(including author, title, pertinent pages, etc.)

<u>Examiner Initial*</u>	Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.
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Issue Notifications, Notices of Allowance, and Office Actions

<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
____361	2002/0072768	Office Action	08/27/2004
____362	2002/0072768	Office Action	02/23/2005
____363	2002/0072768	Office Action	04/11/2005
____364	2002/0072768	Office Action	07/27/2005
____365	2002/0072768	Office Action	03/06/2006
____366	2002/0072768	Office Action	05/24/2006
____367	2002/0072768	Office Action	10/26/2006
____368	2002/0072768	Office Action	04/19/2007
____369	2002/0133193	Office Action	11/04/2004
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____371	2002/0133193	Office Action	10/18/2005
____372	2002/0133193	Notice of Allowance	04/18/2007
____373	2002/0133193	Notice of Allowance	09/27/2007
____374	2003/0078598	Office Action	02/09/2005

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____375	2003/0078598	Office Action	05/26/2005
____376	2003/0078598	Office Action	10/04/2005
____377	2003/0078598	Notice of Allowance	05/10/2006
____378	2003/0078598	Notice of Allowance	07/02/2007
____379	2003/0195561	Office Action	06/10/2004
____380	2003/0195561	Notice of Allowance	09/21/2004
____381	2003/0195561	Office Action	01/03/2006
____382	2003/0195561	Issue Notification	02/15/2006
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____384	2003/0195561	Notice of Allowance	12/28/2006
____385	2003/0195561	Notice of Allowance	07/10/2007
____386	2003/0195561	Notice of Allowance	08/02/2007
____387	2004/0153123	Office Action	09/22/2006
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
____390	2004/0153122	Office Action	11/30/2005
____391	2004/0153122	Office Action	08/23/2006
____392	2004/0153122	Office Action	02/13/2007
____393	2004/0153122	Office Action	09/12/2007
____394	2004/0073255	Office Action	09/15/2006
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____396	2004/0073236	Office Action	09/19/2006
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____401	2004/0167570	Office Action	10/30/2006
____402	2004/0167570	Office Action	04/17/2007
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____405	2005/0274768	Office Action	08/10/2007
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____413	6,197,042	Notice of Allowance	11/06/2000
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____420	6,391,048	Notice of Allowance	02/11/2002
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____432	6,632,238	Office Action	02/26/2003
____433	6,632,238	Notice of Allowance	06/16/2003
____434	6,632,238	Issue Notification	09/25/2003

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____435	6,669,714	Office Action	03/04/2003
____436	6,669,714	Notice of Allowance	07/28/2003
____437	6,669,714	Issue Notification	12/11/2003
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____443	6,749,621	Notice of Allowance	02/09/2004
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____447	6,780,197	Office Action	02/09/2004
____448	6,780,197	Notice of Allowance	03/17/2004
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Att'y Docket No.: 16497.1.1.1F  
Art Unit: 4116

<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
____450	6,926,731	Office Action	11/16/2004
____451	6,926,731	Notice of Allowance	04/06/2005
____452	6,926,731	Issue Notification	07/20/2005
____453	6,942,674	Office Action	09/29/2004
____454	6,942,674	Notice of Allowance	05/13/2005
____455	6,942,674	Issue Notification	08/24/2005
____456	7,001,398	Office Action	03/22/2005
____457	7,001,398	Notice of Allowance	07/06/2005
____458	7,001,398	Notice of Allowance	10/05/2005
____459	7,001,398	Issue Notification	02/21/2006
____460	7,008,435	Office Action	04/20/2005
____461	7,008,435	Office Action	08/10/2005
____462	7,008,435	Notice of Allowance	10/18/2005
____463	7,008,435	Issue Notification	02/15/2006
____464	7,108,709	Office Action	07/27/2004

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael Carley  
Serial No.: 10/541,083  
Filing Date: 06/29/2005  
For: METHODS FOR MANUFACTURING A CLIP AND CLIP

Sheet 34 of 35  
Confirmation No.: 1182  
Att'y Docket No.: 16497.1.1.1F  
Art Unit: 4116

<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
____465	7,108,709	Office Action	12/17/2004
____466	7,108,709	Notice of Allowance	03/09/2005
____467	7,108,709	Office Action	08/11/2006
____468	7,108,709	Issue Notification	08/30/2006
____469	7,111,768	Office Action	02/23/2006
____470	7,111,768	Notice of Allowance	05/31/2006
____471	7,111,768	Issue Notification	09/06/2006
____472	7,163,551	Office Action	01/10/2006
____473	7,163,551	Notice of Allowance	09/20/2006
____474	7,163,551	Issue Notification	12/27/2006
____475	7,211,101	Office Action	08/10/2005
____476	7,211,101	Office Action	12/19/2005
____477	7,211,101	Office Action	04/21/2006
____478	7,211,101	Notice of Allowance	12/27/2006
____479	7,211,101	Issue Notification	04/11/2007

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE  
Form PTO-1449

Applicant: Michael Carley  
Serial No.: 10/541,083  
Filing Date: 06/29/2005  
For: METHODS FOR MANUFACTURING A CLIP AND CLIP

Sheet 35 of 35  
Confirmation No.: 1182  
Att'y Docket No.: 16497.1.1.1F  
Art Unit: 4116

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initiated by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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